

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <p style="margin: 0; font-size: small;">Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997 Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12 See 37 C.F.R. §§ 1.27 and 1.28.</p>		Complete if Known	
		Application Number	
		Filing Date	
		First Named Inventor	Hauck, J.
		Examiner Name	
		Group / Art Unit	
Attorney Docket No		1270	
TOTAL AMOUNT OF PAYMENT		(\$)	

<p>METHOD OF PAYMENT (check one)</p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to.</p> <p>Deposit Account Number: <u>500246</u></p> <p>Deposit Account Name: <u>Beck & Tysner</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p style="text-align: center;">FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101 790</td> <td>201 395</td> <td>Utility filing fee</td> <td>395.00</td> </tr> <tr> <td>106 330</td> <td>206 165</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107 540</td> <td>207 270</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108 790</td> <td>208 395</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114 150</td> <td>214 75</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (1)</td> <td style="text-align: center;">(\$)</td> </tr> </tbody> </table> <p>2. 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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Robert C. Beck	Reg. Number	28,184
Signature		Date	6/30/98
		Deposit Account User ID	

06/30/98
Jc135 U.S. PTO

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (4/98)
Approved for use through 09/30/2000 OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No.	1270
	First Inventor or Application Identifier	Hauck, J.
	Title	Chamber Mapping System
	Express Mail Label No.	EI449732214US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification [Total Pages <u>6</u>] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none">- Descriptive title of the invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the invention- Brief Summary of the invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>3</u> <u>4</u>]	ACCOMPANYING APPLICATION PARTS 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 13. <input type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired <small>(PTO/SB/09-12)</small> 14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 15. <input type="checkbox"/> Other:	
4. Oath or Declaration [Total Pages <input]<ul="" type="checkbox"/> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).		
NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).		
16. If a CONTINUING APPLICATION , check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No: <u>08 / 387,832</u> Prior application information: Examiner <u>Cohen, L.</u> Group / Art Unit: <u>3311</u> For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.		

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 08 / 387,832
Prior application information: Examiner Cohen, L. Group / Art Unit: 3311
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label <input checked="" type="checkbox"/> Correspondence address below					
(Insert Customer No. or Attach bar code label here)					
Name	Beck & Tysver, Suite 440				
Address	1011 First Street South				
City	Hopkins	State	MN	Zip Code	55343
Country		Telephone	612 933 3412	Fax	612 933 3049

Name (Print/Type)	Robert C. Beck	Registration No. (Attorney/Agent)	28,184
Signature	<i>Robert C. Beck</i>	Date	6/30/98

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL

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2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
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Multiple Dependent	-3**		

**or number previously paid, if greater; For Reissues, see below

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FEE CALCULATION (continued)

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* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Typed or Printed Name

Robert C. Beck

Signature

Robert C. Beck

Date

6/30/98

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No.	1270
	First Inventor or Application Identifier	Hauck, J.
	Title	Chamber Mapping System
	Express Mail Label No.	EI449732214US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages <u>6</u>] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>3</u> <u>4</u>] 4. Oath or Declaration [Total Pages <u> </u>] <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)<ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	ACCOMPANYING APPLICATION PARTS <ul style="list-style-type: none">7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)9. <input type="checkbox"/> English Translation Document (if applicable)10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations11. <input type="checkbox"/> Preliminary Amendment12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)13. <input type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)15. <input type="checkbox"/> Other: _____
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☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 08 / 387, 832
Prior application information: Examiner Cohen, L. Group / Art Unit: 3311
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Signature	<i>Robert C. Beck</i>	Date	6/30/98

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CHAMBER MAPPING SYSTEM

Cross Reference to Related Applications

5 The present application is a Continuation-In-Part of 08/387,832 filed 5/26/95 which is incorporated herein in its entirety by reference.

1. Field of the Invention

10 The present invention relates generally to the field of electro-physiology and more particularly to a system for creating a three dimensional geometric model or map of a cardiac chamber.

2. Background of the Invention

15 Knowledge of the shape of a cardiac chamber is useful in a variety of medical applications. For example, it may be desirable to display electrophysiologic data on a realistically shaped cardiac surface to facilitate diagnostic procedures or to facilitate minimally invasive surgical procedures. It has been shown that the ability to present bio-potentials on such a surface provides a powerful diagnostic tool for understanding cardiac arrhythmia. Such systems are known from U.S. Patent 5,553,611 and U.S. Patent 5,291,549. In prior systems such knowledge is used to
20 calibrate the system so that physical dimensions displayed to a clinician match the actual dimensions of the heart. Accurate knowledge of chamber geometry throughout the cardiac cycle may provide more computationally efficient methods for nearly real time diagnostic and/or therapeutic interventions. In this sense refined knowledge of the shape of the chamber is useful even if it is not displayed to
25 the physician.

In general it is desirable to quickly acquire chamber geometry and there is a need to develop methods that accomplish this result in a clinical setting.

Summary of the Invention

30 In the present invention a catheter having a "location" device is moved along the interior surface of the heart by the clinician. During this procedure the location of the catheter is monitored by a mapping system. This "tracing" process collects a relatively large set of mapping or data points. Each data and each measurement has a set of coordinates in physical space and has a time coordinate
35 indicating where in the cardiac cycle the point was measured. It is important to note that any of several commercially available systems can be used to collect this coordinate data.

The software based computer system then builds a geometric figure in the form of a polyhedron from the data set. The convex hull methodology results in a polyhedron having triangular "panels". Conventional convex hull modeling
40 techniques can be used to develop this initial shape. Next a resampling process occurs to "fill in" the data set in preparation for a smoothing operation. Next this convex hull shape is smoothed to represent a more physiologically realistic and computationally tractable shape for further use or display.

45 In use the clinician can control the "resolution" of the map by adding additional points. This map can be used in several ways. First the catheter used to

“trace” the chamber may be used to deliver a therapy which may require the ability to return repeatedly to the same location in the chamber. Since wall location data can be quickly acquired it is possible to track wall motion as the heart beats. The ability to monitor wall motion provides an additional tool for diagnostic use by the clinician.

Brief Description of the Drawings

The embodiments of the invention shown are illustrative and various modifications may be made to the invention without departing from the scope of the invention. Throughout the figures identical reference numerals refer to equivalent structure, wherein:

Fig. 1 is a schematic diagram of a catheter system;

Fig. 2 is a schematic diagram of a collection of data points developed from the Fig. 1 catheter system;

Fig. 3 is a schematic diagram of a computed convex hull heart surface;

Fig. 4 is a schematic diagram of a resampled convex hull surface;

Fig. 5 is a smoothed computed heart surface;

Fig. 6 is a sequence of smoothed chamber shapes developed during a cardiac cycle; and,

Fig. 7 is a flowchart of method of carrying out the invention.

Detailed Description

Knowledge of cardiac geometry is useful in a variety applications. For example in the field of electrophysiology it may be desirable to display certain information on a representation of the cardiac surface to aid diagnostic decisions. It may also be helpful to display information on a representation of the cardiac surface to guide a therapeutic intervention. Apart from display, knowledge of chamber geometry may be useful to permit calculation of other variables such as stroke volume or ejection fraction.

Various techniques have been proposed to carry out measurements of catheter location. Although the various techniques differ in detail, most systems involve the generation of a non-ionizing field in the heart and the detection of a catheter element within that field. The source of the field may be exterior of the patient or may be created within the heart itself with an appropriate catheter system. However all of these techniques generate a set of points having locations in physical space. Suitable techniques are known from the incorporated reference and U.S. Patent 5,697,377 to Wittkamp.

Fig. 1 shows a schematic representation of a heart chamber 10 having a catheter 12 in contact with the cardiac surface 14. A field indicated by field arrow 16 creates a detectable signal at the distal element 18 of the catheter 12. The nature of the field dictates the sensor element 18. Electrical fields may be detected by electrodes, while magnetic fields may be detected by magnetic sensors.

In general the physician can manipulate the catheter 12 within the heart chamber tracing out a set of points shown by representative point 20 illustrated as a cross. The clinician may move the catheter 12 at random to develop this set of points. No pattern is implied by the distribution of points and the physician may

select more or fewer locations of interest. The physical location of each measurement point in space is computed and collected by the computer system generally designated 22. At the end of the collection process each member of the set of data points has associated T,X,Y,Z values corresponding to the instant of data collection and the location of the data point in physical space. The data collection process is set forth in a table associated with the computer 22. For example the rows of data labeled 30 32 and 34 represent individual data points.

Fig. 2 is a graphical representation of the results of sequential measurements made in the heart. This figure is intended to show a three dimensional cloud of data points representing the tabular data of Fig. 1. For purposes of this illustration all the data points for all of the discrete measurement periods are displayed together, with representative data points 30, 32 and 34 identified in the figure.

Fig. 3 is a convex hull shape computed for the cloud of points represented in Fig. 2. This surface represents connections between the most exterior points in the data set. Usually the hull is composed of triangular panels. Convex hull algorithms are well known and publicly available software packages are available to perform this calculation, such as QHULL. See for example "The Quickhull Algorithm for Convex Hulls" by C. Bradford Barber et al. as well as the Web site at <http://www.geom.umn.edu/software/qhull/>.

Fig. 4 shows the resampling process carried out on a regular grid to increase the number of points for further computation. The resampling process interpolates between vertices on the exterior of the polygon. In essence intermediate points are defined within each facet of the hull or polyhedron as represented by data point 38. Although the resampling process creates "fictitious" interpolated points these points are useful in the smoothing operation shown in Fig. 5.

Fig. 5 shows a smoothed shape 39 which represents a more realistic contour than the polyhedron. This surface is computed by fitting smooth curves to the enlarged or enhanced data set generated by the resampling process. Conventional smoothing algorithms are used corresponding to a least squares fit. This process yields a mathematically differentiable surface.

Fig. 6 shows the process taken at several different times in the cardiac cycle. For example chamber 40 was reconstructed at time 42, while chamber 44 was reconstructed at time 46. In a similar fashion chamber 48 is reconstructed at time 50. These times correspond to various stages of the heartbeat represented by the QRS complex 52. By tracking wall position as the heart contracts the clinician can extract diagnostic information concerning relative wall position, motion, and acceleration. Although there are numerous ways to use the sequential data, one useful technique is to construct a normal from the surface and to note the point at which it intersects a superimposed hull of greater volume. The distance between the two surfaces is calculated along the direction of the normal and this distance measurement is used to compute velocity and acceleration for the wall at that location.

Fig. 7 shows a flowchart showing an illustrative sequence for carrying out the method of the invention. In process 60 the various data points associated with multiple endocardial locations are collected. Each point in this set has coordinates in space. In general several dozen points are collected. A larger data set results in a

more complex representation of the heart; however, it is computationally more expensive.

5 In process 62 an algorithm is used to compute the convex hull shape. This shape estimates the boundary of the interior of the heart from the set of points. In process 64 the convex hull is resampled on a regular grid of points in physical space. By resampling the computed hull shape on the regular grid, a larger set of points is generated. Most significantly this enlarged set of points ensures that computational points are available along the length of each edge of the hull. In process 66 an algorithm is used for smoothing the convex hull shape. This process forms a
10 mathematically differentiable shape approximating the physiologic shape of the heart chamber. Any of a number of interpolation processes can be adopted to implement this portion of the process. The final process 68 causes the model to exit to a display routine or other process where the computed shape is used for further analysis.

15 Although a representative illustration of the methodology is given various modifications can be made without departing from the scope of the invention.

What Is Claimed Is:

1. A method of modeling a chamber of the heart comprising:
collecting a set of points inside the heart, each point having coordinates in
5 space;
computing the convex hull shape which estimates the boundary of the heart
from the set of points.

2. A method of modeling a chamber of the heart comprising:
10 collecting a set of points inside the heart, each point having coordinates in
space;
computing the convex hull shape which estimates the boundary of the heart
from the set of points:
resampling the computed hull shape on a regular grid to generate an enlarged
15 set of points
smoothing said convex hull shape forming a mathematically differentiable
shape approximating the physiologic shape of the heart chamber from said enlarged
set of points.

3. The method of claim 2 wherein said collection process collects points at a set
20 of times synchronized with the cardiac rhythm cycle, such that said points have
physical coordinates in space at a specific time in the cardiac cycle.

4. The method of claim 3 wherein said computing process calculates a convex
25 hull shape at discrete intervals in time corresponding to various stages of the heart
cycle, generating several hull shapes.

5. The method of claim 3 wherein said collection of several hull shapes are
30 sequentially compared to develop a measurement of cardiac wall position.

6. The method of claim 4 wherein said collection of several hull shapes are
sequentially compared to develop a measurement of cardiac wall velocity.

7. The method of claim 4 wherein said collection of several hull shapes are
35 sequentially compared to develop a measurement of cardiac wall acceleration.

Abstract

A computational process for approximating and representing the shape of the interior of the heart is disclosed.

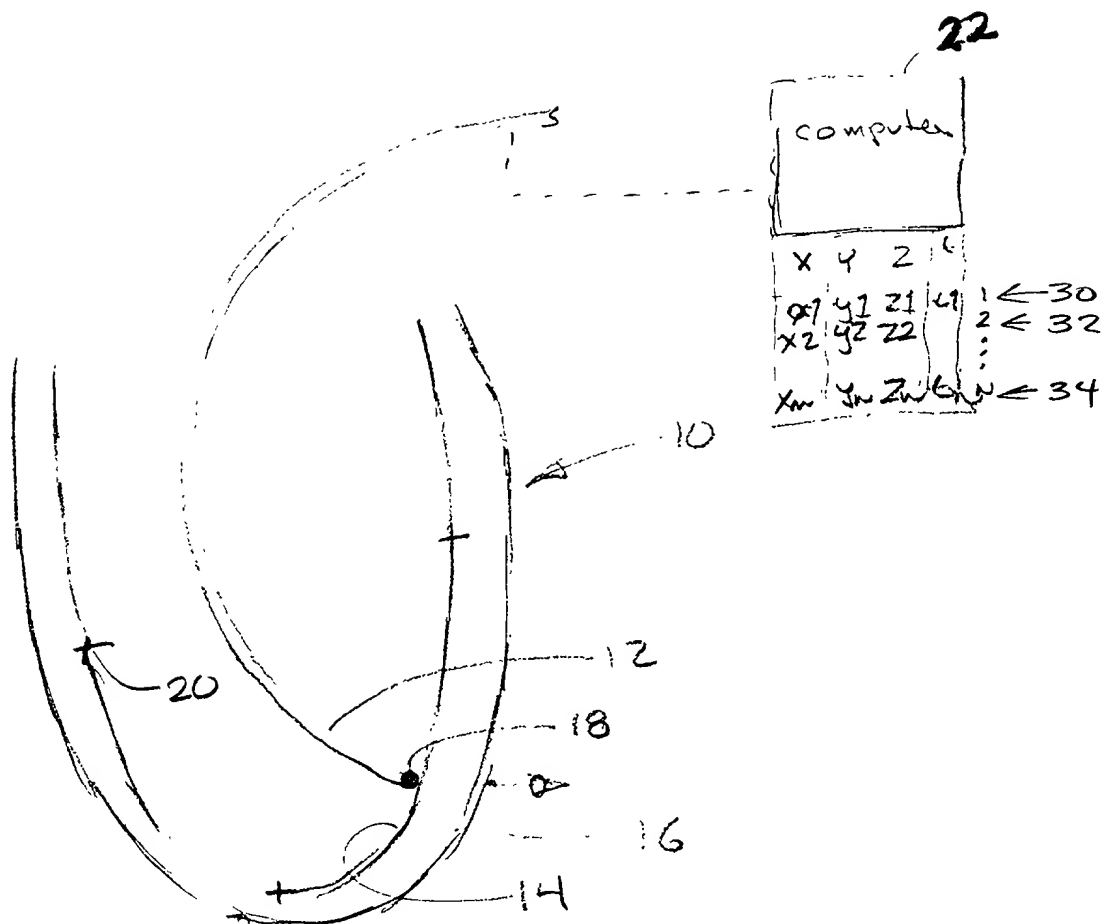
[illegible]

FIG. 1



FIG. 2

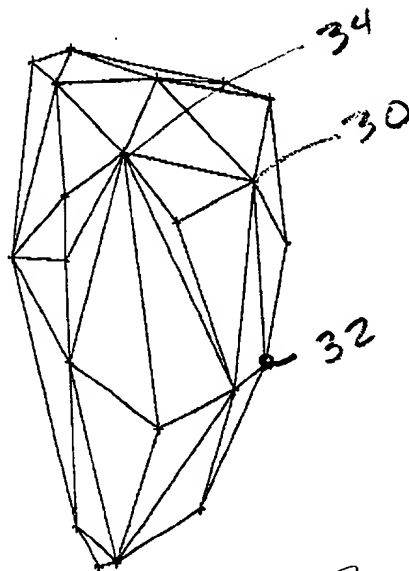


FIG. 3

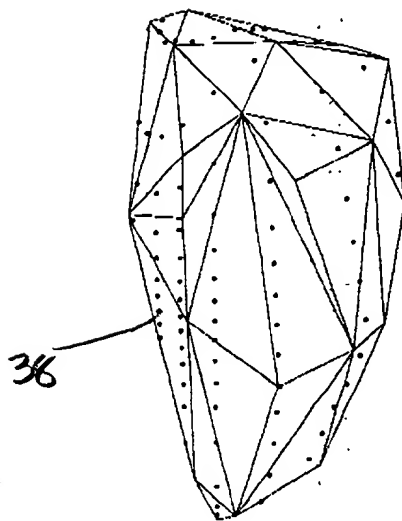


FIG. 4

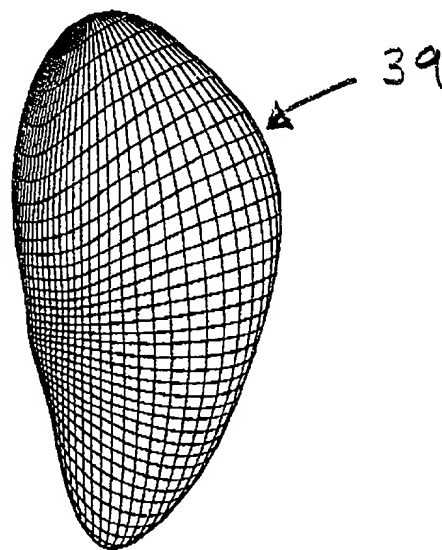
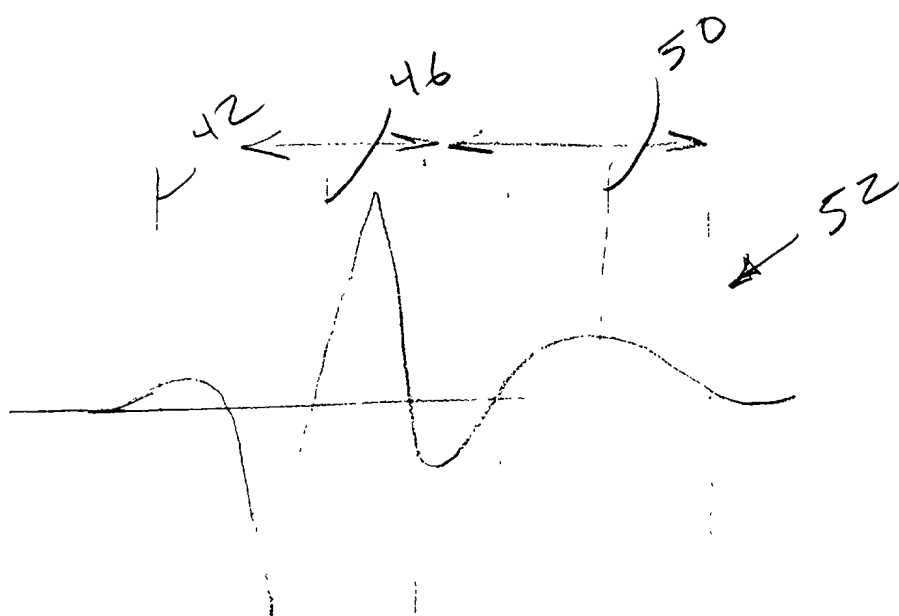


FIG. 5



7C

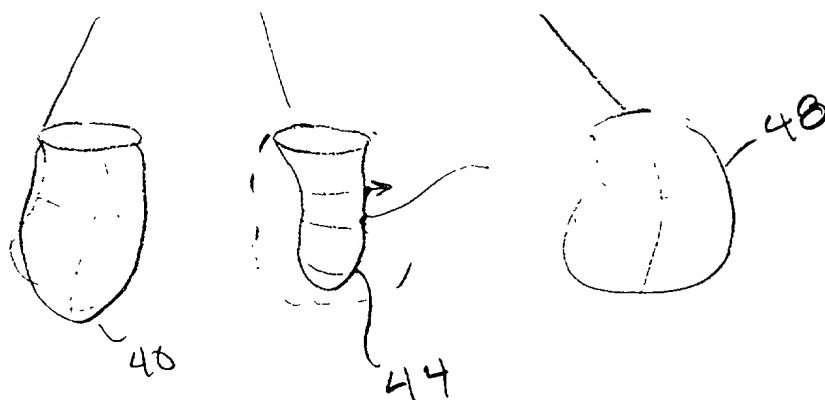


FIG. 6

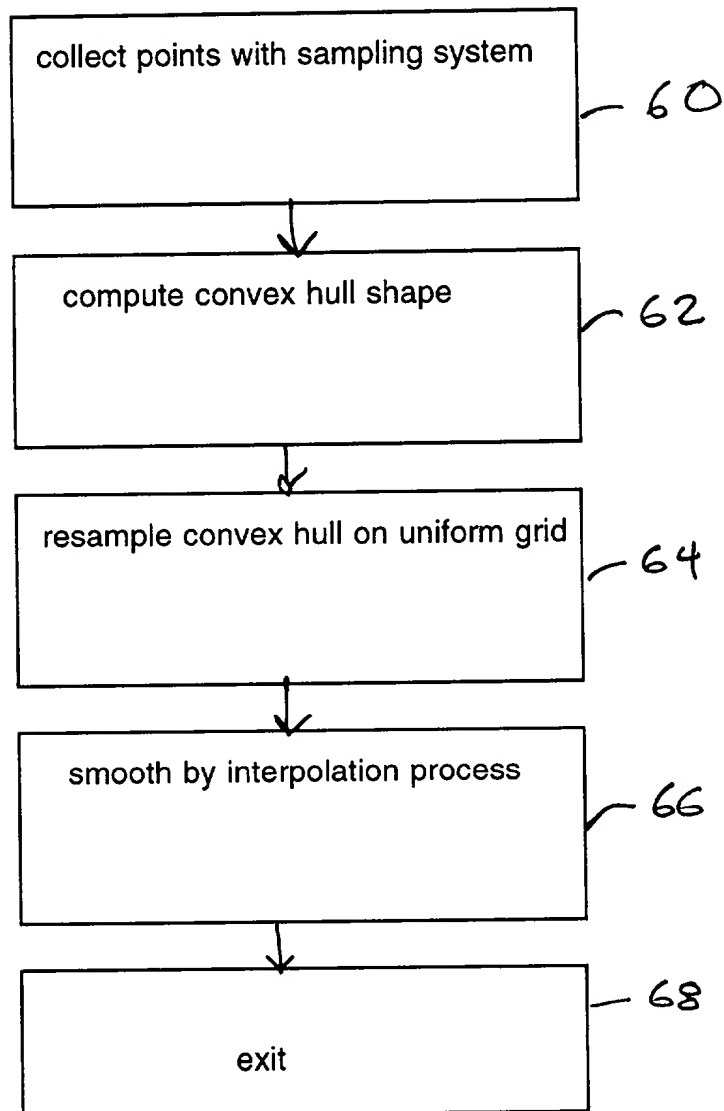


FIG.7

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	First Named Inventor	Hauck, J.
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	Application Number	/
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	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Chamber Mapping System

☒ the specification of which (Title of the Invention)

is attached hereto
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Application Number and was amended on (MM/DD/YYYY) (if applicable)

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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

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U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
08/387,832 07/949,690 07/950,448	5/26/95 9/23/92 9/23/92	

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Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname					
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Inventor's Signature	John A. Hauck		Date	15-June 1998			
Residence: City	Shoreview	State	MN	Country	USA	Citizenship	USA
Post Office Address	5900 Hodgson Road						
Post Office Address							
City	Shoreview	State	MN	ZIP	55126	Country	USA

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ADDITIONAL INVENTOR(S) Supplemental Sheet

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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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Post Office Address	2176 McAfee Circle						
Post Office Address							
City	Maplewood	State	MN	ZIP	55109	Country	USA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Clifford B.				Miller			
Inventor's Signature	[Signature]			Date	6/15/98	Date	6/15/98
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